



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE PATENT APPLICATION

Appl. No. : 10/649,713

Applicant : Edward R. Kraft

Confirmation No. : 6167

Filed : August 26, 2003

TC/A.U. : 2875

Examiner : Not yet assigned

Title : FLAT PANÈL LUMINAIRE HAVING EMBEDDED

LIGHT GUIDES

Docket No. : ERK-2 CON

Customer No. : 1473

New York, New York 10020 January 7, 2004

Hon. Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

ATTENTION: Office of Initial Patent Examination's Filing Receipt Corrections

#### REQUEST FOR CORRECTED FILING RECEIPT

Sir:

This is a request to correct the Filing Receipt issued in the above-identified application. Specifically, the "Domestic Priority data as claimed by applicant" is incorrect, i.e., the Filing Receipt currently lists "This application is a CIP of 10/066,010 02/02/2002."

Applicant requests that the claim for priority be corrected to list "This application is a **CON** of 10/066,010 02/02/2002," as indicated by the Cross Reference to Related Application filed on August 26, 2003 ("First Page of ERK-2 CON Application;" copy attached as Appendix A).

Additionally, applicant encloses herewith in Appendix B a substitute PrintEFS data sheet with the correct claim for domestic priority.\*

Applicant requests that the Filing Receipt be corrected as indicated in red ink on the enclosed copy (see Appendix C) and that a new Filing Receipt be issued.

Applicant does not believe any fee is due in connection with the submission of this paper. However, should any fee be due, the Director is authorized to charge this fee, or credit any overpayment, to Deposit Account No. 06-1075. A duplicate copy of this request is submitted herewith.

Respectfully submitted,

I hereby certify that this
Correspondence is being
deposited with the U.S.
Postal Service as First
Class Mail in an envelope
Addressed to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450 on

Lillian Garcia

anuar

Signature of Person Signing

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<sup>\*</sup> Applicant inadvertently listed the application as being a continuation-in-part of application 10/066010 in the "Continuity Information" of the PrintEFS data sheet filed on August 26, 2003.

### FLAT PANEL LUMINAIRE HAVING EMBEDDED LIGHT GUIDES

## Cross Reference to Related Application

[0001] This is a continuation of United States
Patent Application No. 10/066,010, filed February 2,
2002, which is incorporated herein by reference.

#### Background of the Invention

1.0

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[0002] This invention relates to flat panel luminaires having embedded light guides for controlled light extraction. More particularly, this invention relates to flat panel luminaires having embedded light guides of irregular tetrahedral shape.

[0003] Numerous applications use optical fibers for illumination. A typical application involves multiple optical fibers or bundles to propagate light to an open end of the fiber. Other applications propagate light along the entire length of a light guide to extract light at intervals rather than provide light at only the terminal end of the fiber. Numerous approaches have been proposed to achieve such interval light extraction from optical light guides or fibers.

20 extraction from optical light guides of libers.

However, each proposal has disadvantages that limit the application or render it impractical.

#### INVENTOR INFORMATION

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#### APPLICATION INFORMATION

Title Line One:: FLAT PANEL LUMINAIRE HAVING EMBEDDED LIG

Title Line Two:: HT GUIDES Total Drawing Sheets:: 4 Formal Drawings?:: Yes Application Type:: Utility Docket Number:: ERK-2 CON

Secrecy Order in Parent Appl.?:: No

#### REPRESENTATIVE INFORMATION

Representative Customer Number:: 1473

Registration Number One:: 31069 Registration Number Two:: 40210 Registration Number Three:: 53459

#### CONTINUITY INFORMATION

This application is a:: CONTINUATION OF

> Application One:: 10/066,010

Filing Date:: 02-02-2002

Source:: PrintEFS Version 1.0.1



#### APPENDIX C

#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandra. Vignus 22313-1450 www.uspto.gov

	APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
,	10/649,713	08/26/2003	2875	375	ERK-2 CON	4	20	2

**CONFIRMATION NO. 6167** 

1473 FISH & NEAVE 1251 AVENUE OF THE AMERICAS **50TH FLOOR** NEW YORK, NY 10020-1105

FILING RECEIPT OC000000011358840\*

Date Mailed: 11/26/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Edward R. Kraft, New York, NY;

**Assignment For Published Patent Application** 

Edward Robert Kraft:

Domestic Priority data as claimed by applicant

This application is a GIP of 10/066,010 02/02/2002 CON

Foreign Applications

If Required, Foreign Filing License Granted: 11/25/2003

Projected Publication Date: 03/04/2004

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Flat panel luminaire having embedded light guides

RECEIVED

DEC = 4 2003

FISH & NEAVE - PA REFERRED TO D DOGUMENT TO INDICATE THAT YOU HAVE COUNT

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Cod , S ction 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

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#### **NOT GRANTED**

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